

## **Duncan-Sanford, Judy A**

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**Subject:** Optum Public Sector - San Diego Child and Adolescent Needs and Strengths (SD CANS & SD CANS-EC) & Pediatric Symptom Checklist (PSC & PSC-Y) - INSTRUCTIONS AND PROCESS EFFECTIVE July 1, 2019

**Importance:** High

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**From:** Duncan-Sanford, Judy A **On Behalf Of** sdu\_Provider Services Help

**Sent:** Tuesday, June 18, 2019 4:46 PM

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San Diego Public Sector

### **San Diego Child and Adolescent Needs and Strengths (SD CANS & SD CANS-EC) & Pediatric Symptom Checklist (PSC & PSC-Y)**

The County of San Diego is mandated by the State to implement and manage Outcome Tools to measure treatment outcomes. The Child and Adolescent Needs and Strengths (CANS) and the Pediatric Symptom Checklist (PSC - 35) are the new outcome tools for the County of San Diego Mental Health Plans for all new youth ages 0 - 21 entering services effective **07/01/19 and after.**

### **San Diego Child and Adolescent Needs and Strengths - SD CANS – EC (ages 0 – 5) and SD CANS (ages 6 - 21)**

The **Child and Adolescent Needs and Strengths (CANS)** is a multiple purpose information integration tool that is designed to be the output of an assessment process. The purpose of the San Diego CANS (SD CANS) is to accurately represent the shared vision of the child/youth serving system—children, youth, and families. As such, completion of the SD CANS and SD CANS-EC is accomplished in order to allow for the effective communication of this shared vision for use at all levels of the system.

The CANS gathers information on the child/youth's needs and strengths. Strengths are the child/youth's assets: areas in life where they are doing well or have an interest or ability. Needs are areas where a child/youth requires help or intervention. Care providers use an assessment process to get to know the child or youth and the families with whom they work and to understand their strengths and needs. The CANS helps care providers decide which of a child/youth's needs are the most important to address in treatment or service planning. The CANS also helps identify strengths, which can be the basis of a treatment or service plan. By working with the child/youth and family during the assessment process and talking together about the CANS, care providers can develop a treatment or service plan that addresses a child/youth's strengths and needs while building strong engagement.

#### **COMPLETED BY:**

1. Licensed Psychologist

2. Licensed/Registered Social Worker or Marriage and Family Therapist
3. Licensed/Registered Professional Clinical Counselor
4. Physician (MD or DO) - Medication ONLY cases are exempt from completing the CANS at this time
5. Psychiatric Nurse Practitioners (PNP) - Medication ONLY cases are exempt from completing the CANS at this time

**COMPLIANCE REQUIREMENTS:**

1. Clinical staff administering the CANS must be trained and certified
2. Certified clinical staff will complete the appropriate measure (SD CANS-EC or SD CANS) for clients 0-21 years of age receiving therapy services from a mental health provider
3. Completed and submitted to Optum:
  - a. With Initial Authorization Requests (or ITP)
  - b. With Continued Authorization Request (or TPU) or every 6 months (whichever occurs first)
  - c. Upon discharge

**DOCUMENTATION STANDARDS:**

1. For each category, a rating of 0-3 must be determined, along with the corresponding identifiers in BHA for ratings of a '2' or '3'
2. Clinicians must receive certification to administer the tool by completing a training course and passing a post test. The training can be accessed through the RIHS website:  
<https://theacademy.sdsu.edu/programs/rihs/cyf-outcomes/>
  - a. If you need to register for a RIHS account please following the [directions](#) found on our website, [www.optumsandiego.com](http://www.optumsandiego.com)> BHS Provider Resources> Fee For Service Providers> Applications> RIHS Acct Instructions
3. Medication only cases are exempt from completing the CANS

**Pediatric Symptom Checklist (PSC & PSC-Y)**

**COMPLETED BY:**

1. Parent/guardian
2. Client
3. When no parent/guardian is available, staff may be in the role of caregiver and complete measure, notating it was completed by clinician/staff.

**COMPLIANCE REQUIREMENTS:**

1. Provided to caregivers of children and youth 3 -18 years of age (PSC).
2. Provided to youth 11 -18 years of age (PSC-Y).
  - a. Upon Initial Assessment
  - b. At the time of the Continued Authorization Request (or TPU) or every 6 months (whichever occurs first)
  - c. Upon discharge
3. Omit questions 5,6,17 &18 when completing for 3-5 years of age.
  - a. All questions should be completed for ages 6-18 years of age.
4. Completed and submitted to Optum:
  - a. With Initial Authorization Requests (or ITP) and CANS/CANS-EC
  - b. With Continued Authorization Request (or TPU) and CANS/CANS-EC or every 6 months (whichever occurs first)
  - c. Upon discharge with CANS/CANS-EC

**DOCUMENTATION STANDARDS:**

1. Completed tools and summary sheets are to be filed in the client chart.
2. If score is above the clinical cutoff, document in progress note for action to address the need.
3. Medication only cases are exempt from completing PSC/PSC-Y

**PROVIDER ACTIONS NEEDED PRIOR TO 07/01/2019:**

- 1. Providers must take course and become certified in administering the CANS before July 1, 2019.

**PROVIDER STEPS (Effective 07/01/2019):**

- 1. Provider must be CANS Certified (San Diego CANS 1.0)
- 2. Provider will administer CANS as outlined above
- 3. Provider will collect the PSC and PSC-Y (when applicable) from youth and caregiver as outlined above
- 4. Provider will submit both tools (CANS/PSC) to Optum for tracking and data entry
- 5. Provider will receive reports on past due tools
- 6. Provider may need to complete Provider/Family Letter to have in client file TBD
- 7. Provider will receive reports from outcome data to review and utilize in treatment planning
- 8. Provider will be recertified for the CANS on a yearly basis (1x per year)

**Billing Codes and Process for Payment**

**Compensation:** Providers will be paid for the following new Billing Codes:

| Billing Code | Service Name                  | Service Description, Length of Service Frequency  |
|--------------|-------------------------------|---|
| CANS01       | CANS Training & Certification | Child Adolescent Needs & Strength Assessment Training and Certification; includes completion of RIHS training and successful certification (1x only/1 unit) |
| CANS02       | CANS Report                   | Submission of an appropriate CANS Report (1 each/1 unit)  |
| CANS03       | CANS Recertification          | Annual Recertification for Child Adolescent Needs & Strength Assessment (1 annually/1 unit)   |

**CANS01 – Training and Certification**

Providers are required to be CANS certified prior to administering the SD CANS-EC and/or the SD CANS.

- Provider will send a copy of the completed certification to [sdu\\_providerserviceshelp@optum.com](mailto:sdu_providerserviceshelp@optum.com).
- The certificate will be considered a claim and processed for payment

**CANS02 - CANS Report**

CANS Reports are completed by the provider and submitted to Optum with:

- Initial Auth Request (or ITP) and
- Continuing Auth Request/6months(or TPU) and
- Discharge.

Provider will use the billing code CANS02 on a standard FORM1500 (02-12)

- Rates were provided with your amendment on 05/30/2019

Optum staff:

- Will enter the data from the CANS form into the CYF mHoms database system.
- Create an authorization for the CANS02 to facilitate payment of the claim

**CANS03 - Recertification**

Providers are required to complete a recertification process annually.

- Provider will send a copy of the completed certification to [sdu\\_providerserviceshelp@optum.com](mailto:sdu_providerserviceshelp@optum.com).

- The certificate will be considered a claim and processed for payment

| <b>New Outcomes Crosswalk</b><br><b>Effective 07/01/2019</b><br><b>The State is now collecting data to evaluate County programs' and Network performance.</b> |  |  |
|---|--|--|
| <b>Clinician Completed Measure</b>  |  |  |
|   | <b>*SD CANS- EC</b><br><i>San Diego Child and Adolescent Needs and Strength – Early Childhood</i>  | <b>*SD CANS</b><br><i>San Diego Child and Adolescent Needs and Strength</i>  |
| <b>Completed by:</b>  | Provider   | Provider   |
| <b>Age Range:</b>   | 0 - 5  | 6 - 21   |
| <b>**Timeframe:</b>   | Submit to Optum with: <ul style="list-style-type: none"> <li>• Initial Auth Request (or ITP)</li> <li>• Continuing Auth Request/6months (or TPU)</li> <li>• Discharge</li> </ul> | Submit to Optum with: <ul style="list-style-type: none"> <li>• Initial Auth Request (or ITP)</li> <li>• Continuing Auth Request/6months (or TPU)</li> <li>• Discharge</li> </ul> |
| <b>Parent/Youth Completed Measure</b>   |  |  |
|   | <b>+PSC</b><br><i>Pediatric Symptom Checklist - Caregiver</i>  | <b>+PSC-Y</b><br><i>Pediatric Symptom Checklist - Youth</i>  |
| <b>Completed by:</b>  | Parent   | Youth  |
| <b>Age Range:</b>   | <>3 - 18   | 11- 18   |
| <b>**Timeframe:</b>   | Submit to Optum with: <ul style="list-style-type: none"> <li>• Initial Auth Request (or ITP)</li> <li>• Continuing Auth Request/6months(or TPU)</li> <li>• Discharge</li> </ul>  | Submit to Optum with: <ul style="list-style-type: none"> <li>• Initial Auth Request (or ITP)</li> <li>• Continuing Auth Request/6months(or TPU)</li> <li>• Discharge</li> </ul>  |
| <b>*SD CANS/SD CANS - EC:</b>   | Requires certification renewed annually (Available on RIHS website)  |  |
| <b>**Timeframe:</b>   | State mandates administration of measures within 6 month-intervals maximum; the majority of continuing auth requests take place within 6 months                                  |  |
| <b>+PSC/PSC-Y:</b>  | Is client/parent completed; training certification is not required   |  |
| <b>&lt;&gt;:</b>  | For clients 3 – 5, omit questions 5, 6, 17, 18 on the Parent PSC   |  |

If you have any questions regarding this process please send them to the Provider Services Staff at [sdu\\_providerserviceshelp@optum.com](mailto:sdu_providerserviceshelp@optum.com).

Thank you,

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**Judy A. Duncan-Sanford, LMFT / Optum San Diego**  
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 Optum San Diego Public Sector

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■ Integrity ■ Compassion ■ Relationships ■ Innovation ■ Performance